

CLAIMS



DIRECTORS & OFFICERS



COMPANY

indemnification from Company



No



Yes

Insured:

Directors & Officers

Insured:

The company

Insured:

The company as a defendant in securities claims only

PERSONAL ASSETS

D&O Insurance

Non Indemnified Liability of the Directors & Officers

**Side A:
Executive Liability**

No Retention

SIDE A

- Individual D's & O's
- Non-Indemnifiable Claims
- Personal Asset Protection
- Claims made against D's & O's for a Wrongful Act during the policy period

Pays directly to the Director / Officer (Pays on Behalf)

CORPORATE ASSETS

D&O Insurance

Company Reimbursements of director's costs

**Side B:
Executive Liability**

Retention Applies

SIDE B

- Indemnifiable Claims
- Corporate Risk Transfer
- Claims made against D's & O's for a Wrongful Act during the policy period

Pays on behalf of the Corporation for Indemnification

CORPORATE ASSETS

D&O Insurance

Where the Company is named

**Side C:
Company Liability for Securities Claims**

Retention Applies

SIDE C

- Company Coverage
- With the Company, if during the policy period any securities claim is first made against the Company, the Insurer will pay on behalf of the Company

Corporate Risk Transfer